

# ToF-Sensors: New Dimensions for Realism and Interactivity

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## Abstract

*A growing number of applications depend on accurate and fast 3D scene analysis. Examples are object recognition, collision prevention, 3D modeling, mixed reality, and gesture recognition. The estimation of a range map by image analysis or laser scan techniques is still a time-consuming and expensive part of such systems.*

*A lower-priced, fast and robust alternative for distance measurements are Time-of-Flight (ToF) cameras. Recently, significant improvements have been made in order to achieve low-cost and compact ToF-devices, that have the potential to revolutionize many fields of research, including Computer Vision, Computer Graphics and Human Computer Interaction (HCI).*

*These technologies are starting to have an impact on research and commercial applications. The upcoming generation of ToF sensors, however, will be even more powerful and will have the potential to become “ubiquitous geometry devices” for gaming, web-conferencing, and numerous other applications. This paper will give an account of some recent developments in ToF-technology and will discuss applications of this technology for vision, graphics, and HCI.*

## 1. Introduction

Acquiring 3D geometric information from real environments is an essential task for many applications in computer vision. Prominent examples such as cultural heritage, virtual and augmented environments and human-computer interaction would clearly benefit from simple and accurate devices for real-time range image acquisition. However, even for static scenes there is no low-priced off-the-shelf system available, which provides full-range, high resolution distance information in real-time. Laser scanning techniques, which merely sample a scene row by row with a single laser device, are rather time-consuming and impracticable for dynamic scenes. Stereo vision camera systems suffer from the inability to match corresponding points in homogeneous regions.

Being a recent development in imaging hardware, the ToF technology opens new possibilities. Unlike other 3D systems, the ToF-sensor is a very compact device which already fulfills most of the above stated features desired for real-time distance acquisition. There are two main approaches currently employed in ToF technology. The first one utilizes modulated, incoherent light, and is based on a phase measurement that can be implemented in standard CMOS or CCD technology [34, 26]. The second approach is based on an optical shutter technology, which has been first used for studio cameras [12], and has later been developed into miniaturized cameras such as the new Zcam [35].

This paper aims at making the computer vision community aware of a rapidly developing and promising sensor technology and it gives an overview of its first applications to computer vision, computer graphics and HCI. Moreover, recent results and ongoing research activities are presented to illustrate this dynamically growing field.

The paper first gives an overview on basic technological foundations of the ToF phase-measurement principle (Section 2) and presents current research activities (Section 3). Sections 4 and 5 discuss sensor calibration issues and basic concepts in terms, e.g. image processing and sensor fusion, respectively. Section 6 focuses on applications for geometric reconstruction, Section 7 dynamic 3D-keying, Section 8 on interaction based on ToF-cameras, and Section 9 on interactive lightfield acquisition.

## 2. Technological Foundations

The ToF phase-measurement principle is used by several manufacturers of ToF-cameras, e.g. PMDTec/ifm electronics ([www.pmdtec.com](http://www.pmdtec.com); Figure 1, left), Mesa Imaging ([www.mesa-imaging.ch](http://www.mesa-imaging.ch); Figure 1, middle) and Canesta ([www.canesta.com](http://www.canesta.com)). Various cameras support a *Suppression of Background Intensity (SBI)*, which facilitates out-door applications. These sensors are based on the on-chip correlation (or mixing) of the incident optical signal  $s$ , coming from a modulated NIR illumination and reflected by the scene, with its reference signal  $g$ , possibly with an

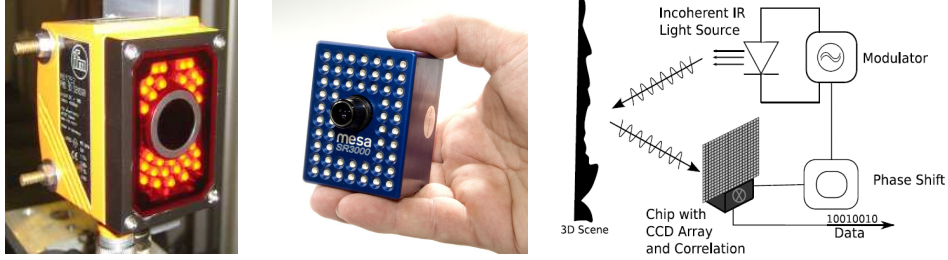


Figure 1. Left: PMDTec/ifm electronics O3D sensor; Middle: Mesa SR3000 sensor; Right: The ToF phase-measurement principle.

internal offset  $\tau$  (see Figure 1, right, and [19]):

$$c(\tau) = s \otimes g = \lim_{T \rightarrow \infty} \int_{-T/2}^{T/2} s(t) \cdot g(t + \tau) dt.$$

For sinusoidal signals (other periodic functions can be used)

$$s(t) = \cos(\omega t), \quad g(t) = k + a \cos(\omega t + \phi)$$

where  $\omega$  is the angular frequency,  $a$  is the amplitude of the incident optical signal and  $\phi$  is the phase offset relating to the object distance, some trigonometric calculus yields  $c(\tau) = \frac{a}{2} \cos(\omega \tau + \phi)$ .

The demodulation of the correlation function  $c$  is done using samples of  $c(\tau)$  obtained by four sequential phase images  $A_i = c(i \cdot \frac{\pi}{2})$ ,  $i = 0, \dots, 3$ :

$$\phi = \text{atan2}(A_3 - A_1, A_0 - A_2),$$

$$a = \frac{\sqrt{(A_3 - A_1)^2 + (A_0 - A_2)^2}}{2}.$$

Now, from  $\phi$  one can easily compute the object distance  $d = \frac{c}{4\pi\omega} \phi$ , where  $c \approx 3 \cdot 10^8 \frac{m}{s}$  is the speed of light.

The major challenges are:

**Low Resolution:** Current sensors have a resolution between  $64 \times 48$  and  $176 \times 144$ , which is small compared to standard RGB sensors but sufficient for most current applications. Additionally, the resulting large solid angle yields invalid depth values in areas of inhomogeneous depth, e.g. at silhouettes.

**Depth Distortion:** Since the theoretically required sinusoidal signal is practically not achievable, the recalculated depth does not reflect the true distance but comprises systematic errors. Additionally, there is an error depending on the amount of incident active light which has non-zero mean.

**Motion Artifacts:** The four phase images  $A_i$  are acquired subsequently, thus sensor or object motion leads to erroneous distance values at object boundaries.

**Multiple Cameras:** The illumination of one camera can affect a second camera, but recent developments enable the use of multiple ToF cameras [25].

### 3. Current Research Projects

The field of real-time ToF-sensor based techniques is very active and covers further areas not discussed here. Its vividness is proven by a significant number of currently ongoing medium and large-scale research projects, e.g.

**Dynamic 3D Vision:** A bundle of 6 projects funded by the German Research Association (DFG). Research foci are multi-chip 2D-3D-sensors, localization and light-fields ([www.zess.uni-siegen.de](http://www.zess.uni-siegen.de))

**ARTTS:** “Action Recognition and Tracking based on Time-of-Flight Sensors” is EU-funded ([www.artts.eu](http://www.artts.eu)). The project aims at developing (i) a new ToF-camera that is smaller and cheaper, and (ii) algorithms for tracking and recognition focusing on multi-modal interfaces.

**Lynkeus:** Funded by the German Ministry of Education and Research ([www.lynkeus-3d.de](http://www.lynkeus-3d.de)), this project strives for higher resolution and robust ToF-sensors for industry applications, e.g. in automation and robot navigation. Lynkeus involves 20 industry and university partners.

**3D4YOU:** An EU-funded project for establishing the 3D-TV production pipeline, from real-time 3D film acquisition over data coding and transmission, to novel 3D displays at the homes of the TV audience.

### 4. Calibration

Lateral calibration of low-resolution imaging devices with standard optics is a difficult task. For ToF-sensors with relatively high resolution, e.g.  $160 \times 120$ , standard calibration techniques can be used [20]. For low-resolution sensors, more sophisticated approaches are required [3].

Concerning the systematic depth error, methods using look-up-tables [14] and b-splines [20] have been applied. In [20] an additional per-pixel adjustment is used to improve measurement quality.

The noise level of the distance measurement depends on the amount of incident active light. Also, an additional intensity-based depth error is observed, i.e., object regions

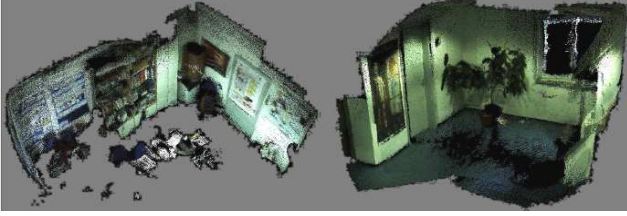


Figure 2. Two acquired office scenes using a 2D/3D camera combination (seen from a third person view) [11].

with low NIR reflectivity appear farther away. In [21], the authors compensate for this effect with a bi-variate b-spline approach, since no simple separation with the systematic depth error is currently at hand, while in [7] further environmental effects, such as object reflectivity, are discussed. Another approach for dealing with systematic measurement errors is to model the noise in the phase images  $A_i$  [5].

## 5. Range Image Processing and Sensor Fusion

ToF-sensors deliver both, distance and intensity values for every pixel. The distance signal can be used to improve the intensity signal and the intensity signal can be used to correct the distance measurement [27]. In [23], depth image refinement techniques for overcoming the low resolution of a PMD sensor, including the enhancement of object boundaries, are discussed.

The statistics of the natural environment are such that a higher resolution is required for color than for depth information. Therefore different combinations of high-resolution video cameras and lower-resolution ToF-sensors have been studied.

Many researchers use a binocular combination of a 2D-RGB- with a ToF-sensor [11, 13, 22, 29]. In all cases, an external calibration of the two sensors is required. In many approaches a rather simple data fusion is used by mapping the ToF pixel as 3D point onto the 2D image plane resulting in a single color value per ToF pixel [11, 13]. A more sophisticated approach presented in [22] projects the portion of the RGB image corresponding to a representative 3D ToF pixel geometry, e.g. a quad, using texture mapping techniques. Furthermore, occlusion artifacts in the near range of the binocular camera rig are detected. A commercial and compact binocular 2D-3D camera has been released by 3DV Systems [35].

The 3DV VisZcam [12] is an early example of a monocular 2D-3D camera aimed at TV production. A monocular 2D-3D camera based on the PMD-sensor has been introduced in [24]. This 2-chip sensor uses a beam-splitter for synchronous and auto-registered acquisition of 2D- and 3D data.

Another research direction aims at combining ToF-cameras with classical stereo techniques. In [18], a PMD-

stereo combination has been introduced to exploit the complementarity of both sensors. In [8], it has been shown that ToF-stereo combination can significantly speed up the stereo algorithm and can help to manage texture-less regions. The approach in [2] fuses stereo and ToF estimates of very different resolutions to estimate local surface patches including surface normals.

## 6. Geometry Extraction and Dynamic Scene Analysis

ToF-cameras are especially well suited to directly capture 3D scene geometry in static and even dynamic environments. A 3D map of the environment can be captured by sweeping the ToF-camera and registering all scene geometry into a consistent reference coordinate system [11]. Figure 2 shows two sample scenes acquired with this kind of approach. For high quality reconstruction, the low resolution and small field of view of a ToF-camera can be compensated for by combining it with high-resolution image-based 3D scene reconstruction, for example by utilizing a structure-from-motion (SFM) approach [1, 16]. The inherent problem of SFM, that no metric scale can be obtained, is solved by the metric properties of the ToF-measurements. This allows to reconstruct metric scenes with high resolution at interactive rates, for example for 3D map building and navigation [29, 28]. Since color and depth can be obtained simultaneously, free viewpoint rendering is easily incorporated using depth-compensated warping [15]. The real-time nature of the ToF-measurements enables 3D object recognition and the reconstruction of dynamic 3D scenes for novel applications such as free viewpoint TV and 3D-TV.

## 7. Dynamic 3D Depth Keying

One application particularly well suited for ToF-cameras is realtime depth keying in dynamic 3D scenes. A feature commonly used in TV studio production today is the 2D chroma keying, where a specific background colour serves as key for 2D segmentation of a foreground object, usually a person, which can then be inserted in computer generated 2D background. This approach is limited, since the foreground object can never be occluded by virtual objects. ToF-cameras can allow for true 3D segmentation and 3D object insertion [30, 12].

A ToF-camera mounted on a pan-tilt-head allows to rapidly scan the 3D studio background in advance, generating a panoramic 3D environment of the 3D studio background. The depth of foreground objects can be captured dynamically with the ToF-camera and can be separated to allow full visual interaction of the person with 3D virtual objects in the studio. Fig. 3 shows the texture and depth of a sample background scene. The scan was generated with

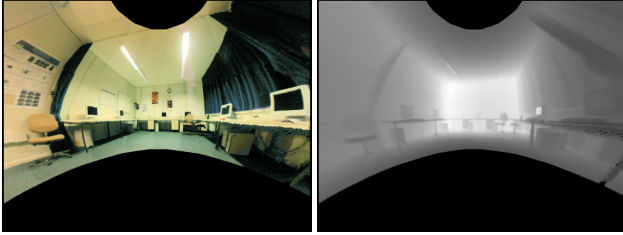


Figure 3. The texture (left) and the depth image (right, dark = near, light = far) as panorama after scanning of the environment. For visualisation, the panorama is mapped onto a cylindrical image.

a SR3000 mounted on a pan-tilt head, automatically scanning a  $180^\circ \times 120^\circ$  (horizontal  $\times$  vertical) hemisphere, the corresponding colour was captured using a fisheye camera

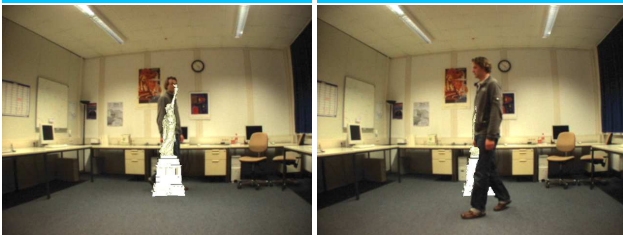
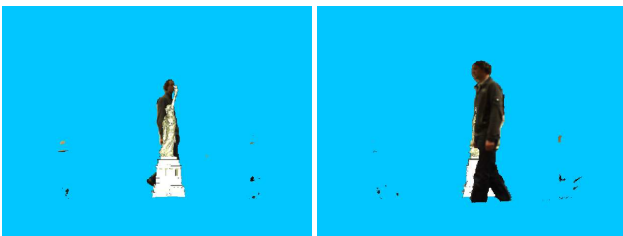


Figure 4. Two images (left to right) out of a sequence of a person walking through the real room with a virtual occluder object. Top to bottom:(1) Original image; (2) ToF depth image for depth keying; (3) depth segmentation between foreground person, room background, and virtual object; (4) composed scene with virtual object and correct depth keying.

with the same field-of-view. Fig. 4 shows the online-phase, where a moving person is segmented by depth keying and correctly interacts with a virtual 3D statue placed in the middle of the room in realtime.

## 8. User Interaction



Figure 5. Example nose-detection results shown on ToF-intensity (left) and ToF-range image (right); detection error rate is 0,03 [4]. A MESA SR3000 cameras has been used.

An important application area is that of interactive systems such as alternative input devices, games, animated avatars etc. An early demonstrator realized a large virtual interactive screen where a ToF-camera tracks the hand and thereby allows for touch-free interaction [26].

The recently presented “nose mouse” [9] allows for hands-free text input with a speed of 12 words per minute although it is based on simple geometric features and a limited spatial resolution ( $176 \times 144$ ). An important result is that the robustness of the nose tracker could be drastically increased by using both the intensity and the depth signals of the ToF-camera, compared to using any of the signals alone (see Figure 5). A similar approach has been used in [33] to detect faces based on a combination of gray-scale and depth information from a ToF-camera. Additionally, active contours are used for head segmentation.

Gesture recognition is another important user interaction area, which can clearly benefit from current ToF-sensors. First results are presented in [10], where only range data are used. Here, motion is detected using band-pass filtered difference range images.

## 9. Lightfields

Lightfield techniques focus on the representation and reconstruction of complex lighting and material attributes from a set of input images rather than exact reconstruction of geometry. Lightfields can be represented using 2D images only or in combination with additional depth information providing an interleaved  $RGBz$  lightfield representation [6]. The latter provides a more efficient and accurate means for image synthesis, since ghosting artefacts are minimized.

ToF-cameras can be used to acquire  $RGBz$  lightfields in a natural way. However, the stated challenges of the





Figure 6. RGBz lightfield example from [32] using a ToF-sensor . A PMD Vision 19k cameras has been used. The artefacts result from the inaccurate depth information at the object boundaries.

ToF-cameras, especially the problems at object silhouettes, severely interfere with the required high-quality object representation and image synthesis for synthetic views. In [31] a system has been proposed using RGBz lightfields for object recognition. A current research setup described in [32] includes a binocular acquisition system using a ToF-camera in combination with adequate data processing in order to suppress the depth artefacts (see Fig. 6).

## 10. Conclusions

We have given a brief review on some recent developments in the area of ToF sensors and associated applications. We believe that this new technology will help to solve some traditional computer-vision problems and open new domains of application. However, it also poses some new challenges in signal processing, object recognition, sensor fusion, and geometry extraction.

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